

and recognition [43, 37, 8] and on problems related to visual pattern recognition [40]. It has been found to perform well in most cases and to find good solutions to the problems posed. A demonstration of the power of this algorithm was provided by Sejnowski [43]. He trained a two-layer perceptron with 120 hidden units and more than 20,000 weights to form letter to phoneme transcription rules. The input to this net was a binary code indicating those letters in a sliding window seven letters long that was moved over a written transcription of spoken text. The desired output was a binary code indicating the phonemic transcription of the letter at the center of the window. After 50 times through a dialog containing 1024 words, the transcription error rate was only 5%. This increased to 22% for a continuation of that dialog that was not used during training.

The generally good performance found for the back propagation algorithm is somewhat surprising considering that it is a gradient search technique that may find a local minimum in the LMS cost function instead of the desired global minimum. Suggestions to improve performance and reduce the occurrence of local minima include allowing extra hidden units, lowering the gain term used to adapt weights, and making many training runs starting with different sets of random weights. When used with classification problems, the number of nodes could be set using considerations described above. The problem of

local minima in this case corresponds to clustering two or more disjoint class regions into one. This can be minimized by using multiple starts with different random weights and a low gain to adapt weights. One difficulty noted with the backward-propagation algorithm is that in many cases the number of presentations of training data required for convergence has been large (more than 100 passes through all the training data). Although a number of more complex adaptation algorithms have been proposed to speed convergence [35] it seems unlikely that the complex decision regions formed by multi-layer perceptrons can be generated in few trials when class regions are disconnected.

An interesting theorem that sheds some light on the capabilities of multi-layer perceptrons was proven by Kolmogorov and is described in [26]. This theorem states that any continuous function of N variables can be computed using only linear summations and nonlinear but continuously increasing functions of only one variable. It effectively states that a three layer perceptron with $N(2N + 1)$ nodes using continuously increasing nonlinearities can compute any continuous function of N variables. A three-layer perceptron could thus be used to create any continuous likelihood function required in a classifier. Unfortunately, the theorem does not indicate how weights or nonlinearities in the net should be selected or how sensitive the output function is to variations in the weights and internal functions.

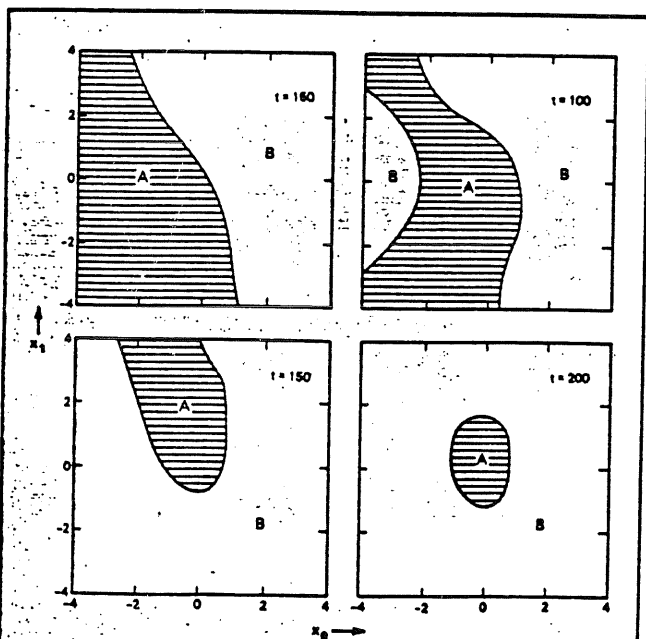


Figure 16. Decision regions after 50, 100, 150 and 200 trials generated by a two layer perceptron using the back-propagation training algorithm. Inputs from classes A and B were presented on alternate trials. Samples from class A were distributed uniformly over a circle of radius 1 centered at the origin. Samples from class B were distributed uniformly outside the circle. The shaded area denotes the decision region for class A.

KOHONEN'S SELF ORGANIZING FEATURE MAPS

One important organizing principle of sensory pathways in the brain is that the placement of neurons is orderly and often reflects some physical characteristic of the external stimulus being sensed [21]. For example, at each level of the auditory pathway, nerve cells and fibers are arranged anatomically in relation to the frequency which elicits the greatest response in each neuron. This tonot-

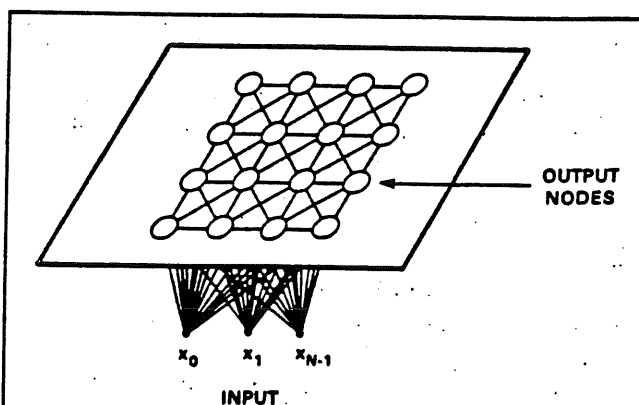


Figure 17. Two-dimensional array of output nodes used to form feature maps. Every input is connected to every output node via a variable connection weight.

topic organization in the auditory pathway extends up to the auditory cortex [33, 21]. Although much of the low-level organization is genetically pre-determined, it is likely that some of the organization at higher levels is created during learning by algorithms which promote self-organization. Kohonen [22] presents one such algorithm which produces what he calls self-organizing feature maps similar to those that occur in the brain.

Kohonen's algorithm creates a vector quantizer by adjusting weights from common input nodes to M output nodes arranged in a two dimensional grid as shown in Fig. 17. Output nodes are extensively interconnected with many local connections. Continuous-valued input vectors are presented sequentially in time without specifying the desired output. After enough input vectors have been presented, weights will specify cluster or vector centers that sample the input space such that the point density function of the vector centers tends to approximate the probability density function of the input vectors [22]. In addition, the weights will be organized such that topologically close nodes are sensitive to inputs that are physically similar. Output nodes will thus be ordered in a natural manner. This may be important in complex systems with many layers of processing because it can reduce lengths of inter-layer connections.

The algorithm that forms feature maps requires a neighborhood to be defined around each node as shown in Fig. 18. This neighborhood slowly decreases in size with time as shown. Kohonen's algorithm is described in Box 7. Weights between input and output nodes are initially set to small random values and an input is presented. The distance between the input and all nodes is computed as shown. If the weight vectors are normalized to have constant length (the sum of the squared weights from all in-

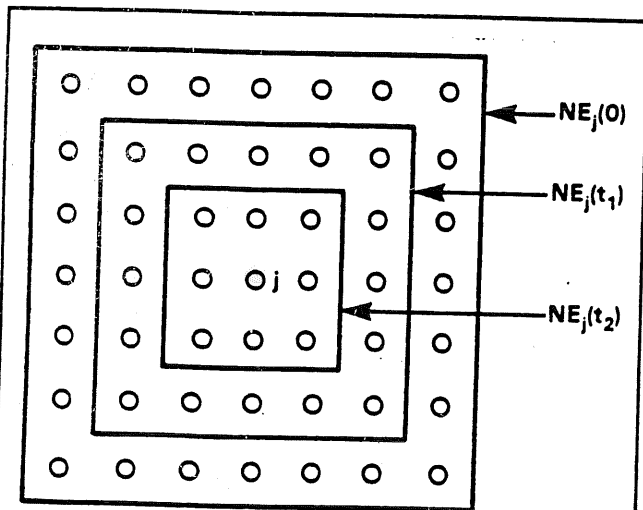


Figure 18. Topological neighborhoods at different times as feature maps are formed. $NE_j(t)$ is the set of nodes considered to be in the neighborhood of node j at time t . The neighborhood starts large and slowly decreases in size over time. In this example, $0 < t_1 < t_2$.

puts to each output are identical) then the node with the minimum Euclidean distance can be found by using the net of Fig. 17 to form the dot product of the input and the weights. The selection required in step 4 then turns into a problem of finding the node with a maximum value. This node can be selected using extensive lateral inhibition as in the MAXNET in the top of Fig. 6. Once this node is selected, weights to it and to other nodes in its neighborhood are modified to make these nodes more responsive to the current input. This process is repeated for further inputs. Weights eventually converge and are fixed after the gain term in step 5 is reduced to zero.

Box 7. An Algorithm to Produce Self-Organizing Feature Maps

Step 1. Initialize Weights

$w_{ij} = \text{random}$
Initialize weights from N inputs to the M output nodes shown in Fig. 17 to small random values. Set the initial radius of the neighborhood shown in Fig. 18.

Step 2. Present New Input

Step 3. Compute Distance to All Nodes

Compute distances d_j between the input and each output node j using

$$d_j = \sum_{i=0}^{N-1} (x_i(t) - w_{ij}(t))^2$$

where $x_i(t)$ is the input to node i at time t and $w_{ij}(t)$ is the weight from input node i to output node j at time t .

Step 4. Select Output Node with Minimum Distance

Select node j^* as that output node with minimum d_j .

Step 5. Update Weights to Node j^* and Neighbors

Weights are updated for node j^* and all nodes in the neighborhood defined by $NE_{j^*}(t)$ as shown in Fig. 18. New weights are

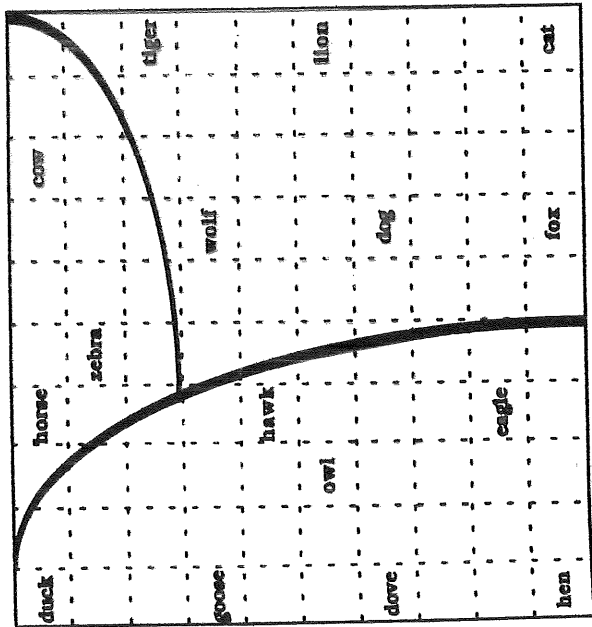
$\eta(t)$
 w_{ij}
$$w_{ij}(t+1) = w_{ij}(t) + \frac{\eta(t)}{N} (x_i(t) - w_{ij}(t))$$

For $j \in NE_{j^*}(t)$ $0 \leq i \leq N-1$

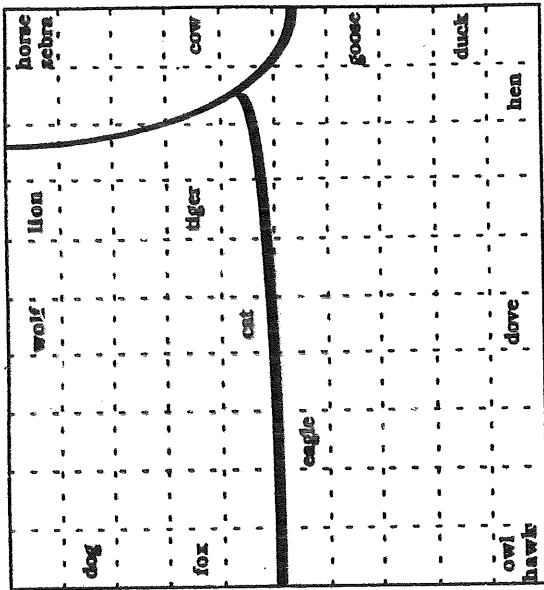
The term $\eta(t)$ is a gain term ($0 < \eta(t) \leq 1$) that decreases in time.

Step 6. Repeat by Going to Step 2 *# of cycles*

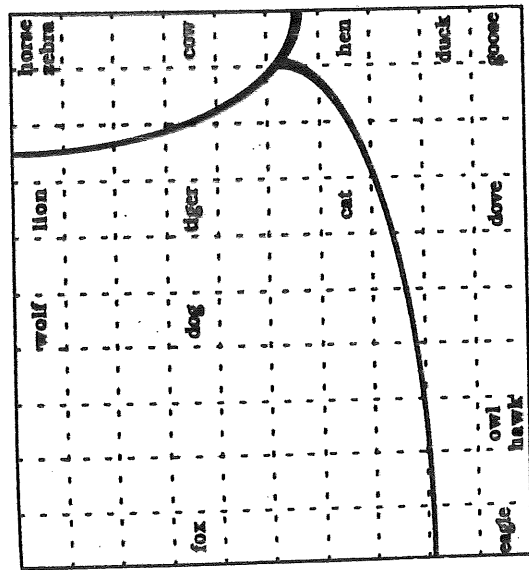
An example of the behavior of this algorithm is presented in Fig. 19. The weights for 100 output nodes are plotted in these six subplots when there are two random independent inputs uniformly distributed over the region enclosed by the boxed areas. Line intersections in these plots specify weights for one output node. Weights from



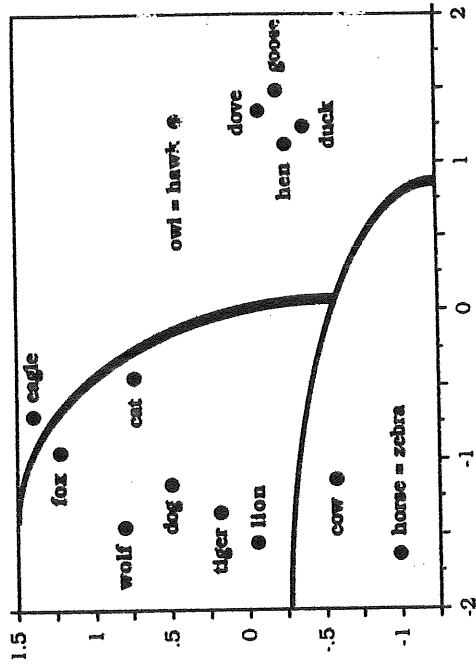
(a)



(b)



(c)



(d)

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BEZDEK AND PAL: A NOTE ON SELF-ORGANIZING SEMANTIC MAPS

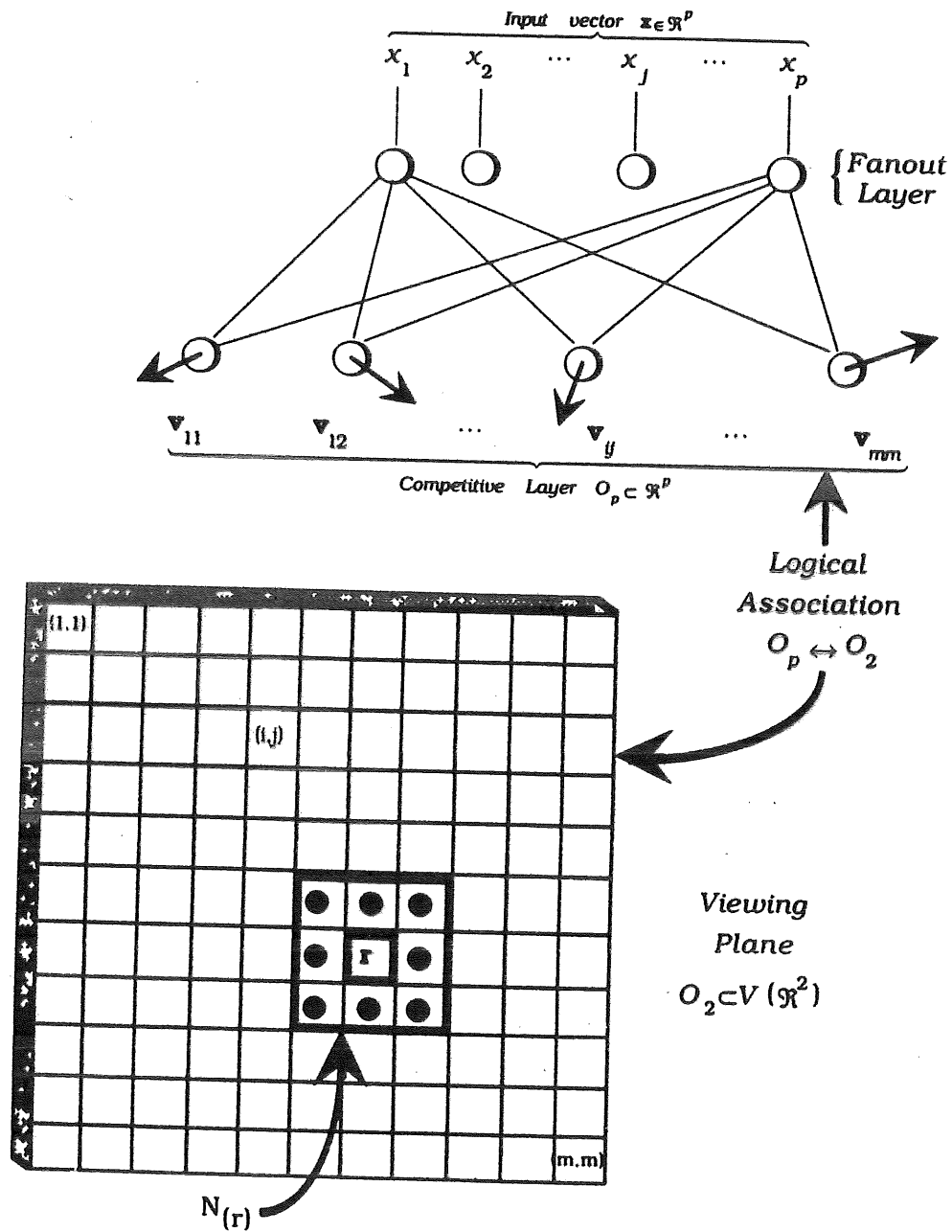


Fig. 1. The SOFM architecture with iterate number (t) suppressed.